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David W. Okey, Reg. No. 42,959

Name of Applicant, Assignee or
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Ad W. Okey

Signature

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Technology Center 2100

Our Case No.: 10022/89

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Marina Goodman et al.

Serial No.: 09/706,012

Filing Date: November 3, 2000

For: Architectures for Netcentric
Computing Systems

Examiner: 2141

Group Art Unit No.: Jiman Khosravan

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

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made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

Foreign Patents

No.	Date	Country
EP 0520923 A2	12/30/1992	Europe
EP 0697655 A2	02/21/1996	Europe
EP 0697691 A2	02/21/1996	Europe
EP 0769739 A2	04/23/1997	Europe
EP 0810520 A1	12/03/1997	Europe
EP 0829808 A2	03/18/1998	Europe
EP 0841616 A2	05/13/1998	Europe
EP 0915422 A1	05/12/1999	Europe
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Other Art

"Data Joiner: A Multidatabase Server Version 1," White Paper, IBM Data Management Solutions, IBM, USA, second edition (May 1995)
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North, K., "Database Programming with OLE and ActiveX," DBMS, US, M&T Publ., Redwood City, CA, US, 11-1996, pp. 1-8
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Williams, S., Kindel, C., "The Component Object Model. The Foundation for OLE Services," Dr. Dobb's Special Report. Winter, 1994/95, Vol. 19, No. 16, 12/21/1994 pp. 14-22
Zoller et al., "A toolkit for an automatic, data dictionary based connection of databases to the WWW," ACM, copyright 1998, pp. 706-718
Copy of co-pending patent application, U.S. Serial No. 09/386,917, filed August 31, 1999; 340 pages; Inventor Michel K. Bowman-Amuah
Copy of co-pending patent application, U.S. Serial No. 10/647,411, filed August 25, 2003; 22 pages; Inventor Michel K. Bowman-Amuah
Copy of co-pending patent application, U.S. Serial No. 09/387,654, filed August 31, 1999; 818 pages; Inventor Michel K. Bowman-Amuah

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

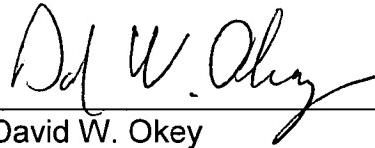
The submission of this Information Disclosure Statement is for foreign patent references as well as other art. Two separate submissions of U.S. patent references and published U.S. patent applications have been made electronically on the same date as this Information Disclosure Statement. Applicants are informed that the electronic Information Disclosure Statements need not include an electronic Form 1449. If the Examiner requires paper Forms 1449 to assist in making the documents submitted

electronically of record, the Examiner is requested to so inform Applicants, who will be happy to submit paper Forms 1449 covering the electronic IDS submissions.

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 for all submissions, including the electronic submissions mentioned above is enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



David W. Okey
Registration No. 42,959
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
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Date: August 12, 2004 Name: David W. Okey, Reg. No. 42,959 Signature: *DW Okey*

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Marina Goodman et al.

Appln. No.: 09/706,012

Examiner: Jiman Khosravan

Filed: November 3, 2000

Art Unit: 2141

For: Architectures for Netcentric Computing Systems

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Attorney Docket No: 10022/89

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Technology Center 2100

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P. O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Attached is/are:

- Information Disclosure Statement (in dup.); Form PTO-1449; 43 cited references; and check for \$180
 Return Receipt Postcard

Fee calculation:

- No additional fee is required.
 Small Entity.
 An extension fee in an amount of \$ _____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
 A petition or processing fee in an amount of \$ _____ under 37 C.F.R. § 1.17(_____.)
 An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		or	Not a Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

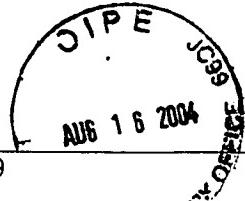
- A check in the amount of \$180 is enclosed.
 Please charge Deposit Account No. 23-1925 in the amount of \$ _____. A copy of this Transmittal is enclosed for this purpose.
 Payment by credit card in the amount of \$ _____. (Form PTO-2038 is attached).
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

Aug 12, 2004

Respectfully submitted,

David W. Okey (Reg. No. 42,959)



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/706,012	CASE NO. 10022/89
	FILING DATE November 3, 2000	GROUP ART UNIT 2141
	APPLICANT(S): Marina Goodman et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A1	EP 0520923 A2	12/30/1992	Europe	G06F 15/21	
A2	EP 0697655 A2	02/21/1996	Europe	G06F 9/46	
A3	EP 0697691 A2	02/21/1996	Europe	G09G 5/14	
A4	EP 0769739 A2	04/23/1997	Europe	G06F 9/44	
A5	EP 0810520 A1	12/03/1997	Europe	G06F 9/44	
A6	EP 0829808 A2	03/18/1998	Europe	G06F 13/38	
A7	EP 0841616 A2	05/13/1998	Europe	G06F 9/445	
A8	EP 0915422 A1	05/12/1999	Europe	G06F 11/22	
A9	WO 92/20022	11/12/1992	PCT	G06F 1/00	
A10	WO 95/23373	08/31/1995	PCT	G06F 9/28	
A11	WO 98/54660	12/03/1998	PCT	G06F 17/30	

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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>
A12	"Data Joiner: A Multidatabase Server Version 1," White Paper, IBM Data Management Solutions, IBM, USA, second edition (May 1995)
A13	"Effective Systems management for DB2 Universal Database," White Paper, IBM Data Management Solutions, IBM, USA, first edition (December 1996)
A14	Abdel-Mottaleb, M., Hsiang-Lung, W., Dimitrova, N., "Aspects of Multimedia Retrieval," Philips Journal of Research, NL, Elsevier, Amsterdam, Vol. 50, No. 1, 1996, pp. 227-251
A15	Bank, J.S., "Java Security," 8 December 1995, pp. 1-13, X-002092325
A16	Billy B.L. Lim, "Teaching web development technologies in CS/IS curricula," ACM, copyright 1998, pp. 107-111
A17	Blakeley, J.A., "Universal Data Access with OLE DB," Proceedings of IEEE Compcon, U.S. Los Alamitos, IEEE Computer Society Press, 23 Feb. 1997, pp. 2-7
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A19	Chamberlin, D.D., "Evolution of Object-Relational Database Technology in DB2," Compcon '97 Proceedings, IEEE, 1997, pp. 131-135
A20	Chamberlin, D.D., "Using the new DB2: IBM's object-relational database system," Morgan Kaufmann Publishers, USA, 1996, ISBN 1-55860-373-5, ppl. 561-567
A21	Clip, P., "Servlets: CGI The Java Way," BYTE, McGraw-Hill, Inc., St. Peterborough, US, Vol. 23, No. 5, 1 May 1998, pp. 55-56
A22	Cramer, T., Friedman, R., Miller, T., Seberger, D., Wilson, R., Wolczko, M., "Compiling Java Just in Time," IEEE Micro, IEEE Inc., New York, US, Vol. 17, No. 3, 1 May 1997, pp. 36-43 XP000656035, ISSN: 0272-1732

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FORM PTO-1449 <i>JCP</i>	AUG 16 2004	SERIAL NO. 09/706,012	CASE NO. 10022/89
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	A23	Gwertzman, J.S., Seltzer, M., "The Case For Geographical Push-Caching," Workshop on Hot Topics in Operating Systems, 4 May 1995, pp. 51-55	
A24	Hamilton, M.A., "Java and the shift to Net-centric computer," Sun Microsystems, Computer, paper published Aug. 1996, ISSN: 0018-9162, Aug. 1996, pp. 31-39		
A25	IBM Technical Disclosure Bulletin, "Security, License Management and Application Metering Process for Controlling Applications in the DOS Environment," IBM Corp., New York, US, Vol. 37, No. 11, pp 195-199, 1 Nov. 1994		
A26	Joshi, S.M., Veeramani, D., "Book Review. Increasing the Interoperability of CAD Packages. CAD and Office Integration: OLE for Design and Modeling – A New Technology for CA-Software," Computer Aided Design, Elsevier Publishers BV, Barking, GB, Vol. 29, No. 12, 12/01/1997, pp. 907		
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	A40	Zoller et al., "A toolkit for an automatic, data dictionary based connection of databases to the WWW," ACM, copyright 1998, pp. 706-718
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